

231 Reg for
Refund
06-17-03

Practitioner's Docket No. MI22-1246

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Scott E. Moore et al.

Application No.: 09/517,127

Group No.: 3723

Filed: 03/02/2000

Examiner: T. Eley

For: Semiconductor Processor Systems, A System Configured to Provide a
Semiconductor Workpiece Process Fluid (As Amended)

**ATTENTION: Refund Section,
Accounting Division, Office of Finance
Assistant Commissioner for Patents
Washington, D.C. 20231**

REQUEST FOR REFUND
(37 C.F.R. 1.28(a))

I. REFUND REQUEST

This request for refund is made for overpayment of filing fees in connection with the filing of the Request for Continued Examination filed March 14, 2003. We are requesting a refund in the amount of \$942.00.

II. FEES PAID FOR WHICH AMOUNT OF REFUND REQUESTED

The fees for which we are requesting a refund were fees charged to deposit account number 23-0925 on March 18, 2003.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

4/11/2003

FACSIMILE

☐

transmitted by facsimile to the Patent and Trademark Office.

Signature

Natalie King

(type or print name of person certifying)

(Request for Refund--page 1 of 2)

On March 14, 2003 we filed a Request for Continued Examination and paid the \$740.00 filing fee, \$84 for adding new claims in excess of 20, and \$84.00 for adding a new independent claim which was paid by check no. 138288 in the amount of \$908.00 (see Fee Transmittal filed 3/14/2003 and a copy of check no. 138288 evidencing payment to the PTO).

On March 18, 2003 charges of \$750.00 and \$10.00 were made to the above identified deposit account described as a RCE fee. There was also a charge of \$108.00 on March 18, 2003 to said deposit account (see Deposit Account Statement). This was to be applied for added claims. This should not have been charged as there was no fee due for adding claims. In the original application we paid for a total of 109 claims in excess of 20 (see copy of Fee Transmittal filed 3/2/2000). There are 80 claims currently pending in this application.

The total amount of the refund requested is \$942.00. This includes the \$750.00 charged to the deposit account (see deposit account statement for March 2003) on March 18, 2003 for the RCE filing fee; the \$108.00 charged to the deposit account (see deposit account statement for March 2003) on March 14, 2003 for adding claims in excess of 20; and the \$84.00 we overpaid for adding claims in excess of 20 (see copy of Fee Transmittal filed 3/14/2003).

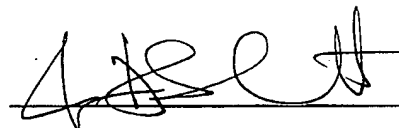
III. FEES FOR WHICH AMOUNT OF REFUND REQUESTED

Total Refund Requested \$942.00

Please make refund by crediting Account No. 23-0925.

Date: _____

4/11/03



James D. Shaurette
Registration No. 39,833
Wells St John P.S.
601 W. First Ave., #1300
Spokane, WA 99201
509-624-4276
Customer No. 021567

EL 465678097

Appr. or use through 9/30/2000. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
 These are the fees effective October 1, 1997.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 See 37 C.F.R. §§1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)**3,628.00**

Complete if Known

Application Number	Unknown
Filing Date	Unknown
First Named Inventor	Scott E. Moore
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	MI22-1246

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number **23-0925**
- Deposit Account Name **Wells, St. John, Roberts**
- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:
- ☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	790	201	395	Utility filing fee	690.00
106	330	206	165	Design filing fee	
107	540	207	270	Plant filing fee	
108	790	208	395	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$) 690.00

2. EXTRA CLAIM FEES

Total Claims **129** - 20** = **109** x **18.00** = **1962.00**

Independent Claims **15** - 3** = **12** x **78.00** = **936.00**

Multiple Dependent **0** = **0**

**or number previously paid, if greater; For Reissues, see below

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	22	203	11	Claims in excess of 20	
102	82	202	41	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	82	209	41	** Reissue independent claims over original patent	
110	22	210	11	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 2,898.00

3. ADDITIONAL FEES


Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	0.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	0.00
139	130	139	130	Non-English specification	0.00
147	2,520	147	2,520	For filing a request for reexamination	0.00
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0.00
115	110	215	55	Extension for reply within first month	0.00
116	400	216	200	Extension for reply within second month	0.00
117	950	217	475	Extension for reply within third month	0.00
118	1,510	218	755	Extension for reply within fourth month	0.00
128	2,060	228	1,030	Extension for reply within fifth month	0.00
119	310	219	155	Notice of Appeal	0.00
120	310	220	155	Filing a brief in support of an appeal	0.00
121	270	221	135	Request for oral hearing	0.00
138	1,510	138	1,510	Petition to institute a public use proceeding	0.00
140	110	240	55	Petition to revive - unavoidable	0.00
141	1,320	241	660	Petition to revive - unintentional	0.00
142	1,320	242	660	Utility issue fee (or reissue)	0.00
143	450	243	225	Design issue fee	0.00
144	670	244	335	Plant issue fee	0.00
122	130	122	130	Petitions to the Commissioner	0.00
123	50	123	50	Petitions related to provisional applications	0.00
128	240	128	240	Submission of Information Disclosure Stmt	0.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	0.00
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	0.00
Other fee (specify) _____					0.00
Other fee (specify) _____					0.00

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**40.00**

SUBMITTED BY

Typed or Printed Name **James D. Shaurette**
Wells, St. John, Roberts, Gregory & Matkin P.S.

Signature 

Complete (if applicable)

Reg. Number **39,833**

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 908.00

Complete if Known

Application Number 09/517,127
Filing Date 03/02/2000
First Named Inventor Scott E. Moore et al.
Examiner Name T. Eley
Art Unit 3724
Attorney Docket No. MI 22-1246

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

23-0925

Wells St. John, P.S.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
83	20** = 6	18.0	84.0
19	3** = 1	84.0	84.0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 168.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	740.0
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 740.00

SUBMITTED BY

Name (Print/Type) James D. Shaurette Registration No. (Attorney/Agent) 39.833 Telephone 509.624.4276
Signature [Signature] Date 3/14/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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EV182658085		DATE	CHECK	AMOUNT	
		03/14/03	138288	***\$908.00	
*** NINE HUNDRED EIGHT & 00/100 DOLLARS					
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		[Signature]			
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Tracer: 11027406 - Amt: \$908.00 - 03/21/2003.



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Page**Deposit Account Statement**

Requested Statement Month: March 2003
 Deposit Account Number: 230925
 Name: WELLS ST JOHN & ROBERTS GREGORY & MATKIN PS
 Attention: ATTN BARBARA SCHURRA
 Address: 601 WEST FIRST AVENUE SUITE 1300
 City: SPOKANE
 State: WA
 Zip: 99201-3817

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
03/03	1159	78220866		7001	\$335.00	\$14,204.00
03/04	1	10004293	31769.CON.1	1814	\$110.00	\$14,094.00
03/06	5	10338523	MI22-2121	1201	\$84.00	\$14,010.00
03/06	8	10287865	WO30-003	8021	-\$40.00	\$14,050.00
03/06	227	78222021		7001	\$335.00	\$13,715.00
03/07	1	09533058	MI22-1404	1202	\$72.00	\$13,643.00
03/07	10	09834660	MI22-1637	1453	\$1,300.00	\$12,343.00
03/07	42	SUBSCRIPTION		8001	\$3.00	\$12,340.00
03/10	1	685358		8003	\$360.00	\$11,980.00
03/10	139	10060890	CU12-003	8021	\$160.00	\$11,820.00
03/11	47	09905187	IS9-018	2251	\$55.00	\$11,765.00
03/11	503	78223845		7001	\$335.00	\$11,430.00
03/13	1	09687600	M122-15550	1202	\$108.00	\$11,322.00
03/14	330	78225328		7001	\$335.00	\$10,987.00
03/14	420	78225411		7001	\$335.00	\$10,652.00
03/17	2	PAYMENT		9203	-\$5,000.00	\$15,652.00
03/17	5	PCT/US03/03713		1703	\$216.00	\$15,436.00
03/17	269	78225878		7001	\$335.00	\$15,101.00
03/17	302	78225896		7001	\$335.00	\$14,766.00
03/17	345	78225921		7001	\$335.00	\$14,431.00
03/17	366	78225934		7001	\$335.00	\$14,096.00
03/17	374	78225940		7001	\$335.00	\$13,761.00
03/17	1144	76106530		7004	\$150.00	\$13,611.00
03/18	3	09643005	MI22-1386	1201	\$168.00	\$13,443.00
03/18	4	09643005	MI22-1386	1202	\$108.00	\$13,335.00
03/18	25	76174600		7004	\$150.00	\$13,185.00
03/18	53	76229939		7004	\$150.00	\$13,035.00
03/18	89	10386619		9204	-\$144.00	\$13,179.00

Deposit Account Statement

Page 2 of 2

03/18	136	09517127	MI22-1246	1801	\$750.00	\$12,429.00
03/18	137	09517127	MI22-1246	1202	\$108.00	\$12,321.00
03/18	138	09517127	MI22-1246	1201	\$84.00	\$12,237.00
03/18	245	09517127	MI22-1246	1801	\$10.00	\$12,227.00
03/18	477	76238557		7004	\$150.00	\$12,077.00
03/18	513	76371492		7004	\$150.00	\$11,927.00
03/18	531	76371493		7004	\$150.00	\$11,777.00
03/19	1	10228404	MI22-2059	1806	\$180.00	\$11,597.00
03/19	34	09881408	MI22-1534	1251	-\$110.00	\$11,707.00
03/19	456	76240640		7003	\$100.00	\$11,607.00
03/20	6	PCT/US03/02788		9204	-\$54.00	\$11,661.00
03/20	413	78227737		7001	\$335.00	\$11,326.00
03/25	240	76356218		7004	\$150.00	\$11,176.00
03/25	367	76240642		7003	-\$100.00	\$11,076.00
03/25	450	76240641		7003	\$100.00	\$10,976.00
03/25	1097	2144434		7205	\$100.00	\$10,876.00
03/25	1098	2144434		7208	\$200.00	\$10,676.00
03/26	256	2144435		7205	\$100.00	\$10,576.00
03/26	257	2144435		7208	\$200.00	\$10,376.00
03/27	21	10299140	MI22-2156	1201	-\$168.00	\$10,544.00
03/27	22	10299140	MI22-2156	1202	-\$108.00	\$10,652.00
03/27	345	0967007		7205	\$100.00	\$10,552.00
03/27	346	0967007		7201	\$400.00	\$10,152.00
03/27	381	78230465		7001	\$335.00	\$9,817.00
03/28	2	10394451	TE2-078	1001	\$750.00	\$9,067.00
03/28	3	10394451	TE2-078	1201	\$252.00	\$8,815.00
03/28	4	10394452	PY2-015	1001	\$750.00	\$8,065.00
03/28	167	60457004	BA56-010	2005	\$80.00	\$7,985.00
03/31	17	PAYMENT		9203	-\$8,000.00	\$15,985.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$14,539.00	\$12,178.00	\$13,624.00	\$15,985.00

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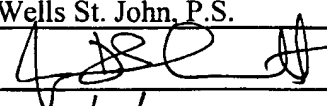
Please type a plus sign (+) inside this box → ☐


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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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OFFICE OF FIRST
REFUND OF FEE
PTO/SB/21 (6-98)
FEB 21 PM 3:57
OFFICE OF TRADEMARKS
MAR 2, 2000

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/547,127
	Filing Date	March 2, 2000
	First Named Inventor	Scott E. Moore et al.
	Group Art Unit	3723
	Examiner Name	T. Eley
Total Number of Pages in This Submission	Attorney Docket Number	MI22-1246

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard A Copy of Fee Transmittals filed 3/2/2000 & 3/14/2003
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	Additional Enclosures:
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Copy of Deposit Account Statement for March 2003 Copy of Check No. 138288 in the amount of \$908.00	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	James D. Shaurette, Reg. No. 39,833 Wells St. John, P.S.
Signature	
Date	4/10/03

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 4-11-2003			
Typed or printed name	Natalie King	Date	4-11-2003
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.